

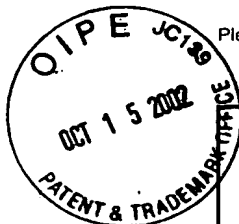
AP/2811

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

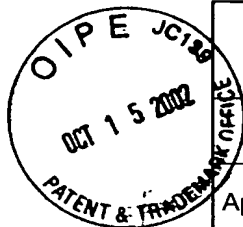
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/577,835
	Filing Date	May 25, 2000
	First Named Inventor	Pai-Hung Pan
	Group Art Unit	2811
	Examiner Name	C. Nguyen
Total Number of Pages in This Submission	Attorney Docket Number	M4065.0321/P321-A

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below)
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Thomas J. D'Amico
Signature	
Date	October 15, 2002



# AMENDMENT TRANSMITTAL LETTER

Docket No.  
M4065.0321/P321-A

Application No.  
09/577,835

Filing Date  
May 25, 2000

Examiner  
C. Nguyen

Art Unit  
2811

Applicant(s): Pai-Hung Pan, et al.

Invention: PASSIVATION OF SIDEWALLS OF A WORD LINE STACK

## TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.  
The fee has been calculated and is transmitted as shown below.

### CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	6	- 20 =		x	0.00
Independent Claims	1	- 3 =		x	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					

☒ Large Entity

☐ Small Entity

☒ No additional fee is required for this amendment.

☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1073  
as described below. A duplicate copy of this sheet is enclosed.

☒ Credit any overpayment.

☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Dated: October 15, 2002

Thomas J. D'Amico

Attorney Reg. No.: 28,374

DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP  
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Docket No.: M4065.0321/P321-A  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Pai-Hung Pan, et al.

Confirmation No. 6233

Application No.: 09/577,835

Group Art Unit: 2811

Filed: May 25, 2000

Examiner: C. Nguyen

For: PASSIVATION OF SIDEWALLS OF A  
WORD LINE STACK

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FJONES  
10-23-02

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TECHNOLOGY CENTER 2800

AMENDMENT UNDER 37 CFR 1.116

Box AF  
Box Non-Fee Amendment  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Office Action dated July 15, 2002 (Paper No. 14), please  
amend the above-identified U.S. Patent application as follows:

IN THE CLAIMS

Please rewrite claim 25 as follows:

25. An integrated circuit comprising:

a semiconductor substrate;

a gate dielectric film disposed on a surface of the substrate;

please do not enter

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